

Atty Dkt: 213899.00020



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)	
	:	Examiner: H.S. Sawhney
BRASS, Jack, et al.	)	
	:	Group Art Unit: 2875
Application No.: 10/500,500	)	
	:	Confirmation No.: 4471
Filed: June 30, 2004	)	
	:	
For: LED INSPECTION LAMP AND	)	
LED SPOT LIGHT	:	
	)	February 28, 2007

**Mail Stop Issue Fee**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**NOTIFICATION OF ENTITLEMENT TO  
SMALL ENTITY STATUS PURSUANT TO 37 CFR 1.27(C)**

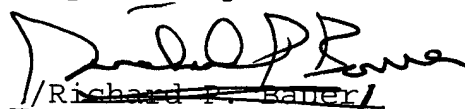
Sir:

Applicants are in receipt of a Notice of Allowance indicating that the above-identified application is a large entity.

Applicants filed a national stage entry application on June 30, 2004 (postcard attached). Also attached is a copy of the Transmittal Letter (see page 2) indicating that Applicants were entitled to half of the filing fees. Applicants will pay the issue fee amount at the small entity rate. This Notification is being filed with payment of the issue fee.

Applicants' undersigned attorney may be reached in our Washington, D.C. office by telephone at (202) 625-3507. All correspondence should continue to be directed to our address given below.

Respectfully submitted,



/Richard P. Bauer/

Richard P. Bauer  
Attorney for Applicants  
Registration No. 31,588

PATENT ADMINISTRATOR  
KATTEN MUCHIN ROSENMAN LLP  
1025 THOMAS JEFFERSON STREET, N.W. EAST LOBBY: SUITE 700  
WASHINGTON DC 20007-5201

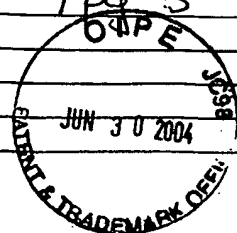
# DOCKETED

Applicant(s): Jack BRASS, et al. Date Sent: 6/30/04  
Client: Wilkes Patent Law By: 1st Class Mail  
App/Pat/Reg No.: National Stage of PCT/CA2/02020 Hand-Carried  
Filing/Issue Date: 6-30-04 Other  
Docket No.: 213899.00020 U.S. P.O. Express Mail No.:  
Attorney: RJB  
Due Date: 6-30-04  
Title/Mark: LED INSPECTION LAMP AND LED SPOT  
Light

The U.S. Patent and Trademark Office date stamped hereon is the date on which the below-listed items were received:

Enclosures (including # of pages):  
Form PTO-1390 - Published Inter. Appl. - WO 03/060494  
Calc & Dec. 7pgs - Power of Attorney - 6pgs,  
IDS - PTO Form 1449 & 2 Cited References  
Preliminary Amendment - 7pgs

BEST AVAILABLE COPY



213899.00020

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

INTERNATIONAL APPLICATION NO.

PCT/CA02/02020

INTERNATIONAL FILING DATE

12/30/2002

PRIORITY DATE CLAIMED

12/31/2001

TITLE OF INVENTION

LED INSPECTION LAMP AND LED SPOT LIGHT

APPLICANT(S) FOR DO/EO/US Jack BRASS, Richard J. DORAN, Donald L. KLIPSTEIN, Thomas M. LEMONS,  
Bjarki HALLGRIMSON, Sarah DOBBIN

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☐ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☐ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

## Items 11 to 20 below concern document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98. With FORM PTO 1449 and 7 cited references
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A preliminary amendment.
14. ☐ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☒ A power of attorney and/or change of address letter. Six Powers executed by inventors
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☐ Other items or information:

## 21. The following fees are submitted:

**BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):**

Neither international preliminary examination fee (37 CFR 1.482)  
nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO  
and International Search Report not prepared by the EPO or JPO. .... \$1080.00

International preliminary examination fee (37 CFR 1.482) not paid to  
USPTO but International Search Report prepared by the EPO or JPO ..... \$920.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO  
but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$770.00

International preliminary examination fee (37 CFR 1.482) paid to USPTO  
but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$730.00

International preliminary examination fee (37 CFR 1.482) paid to USPTO  
and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$100.00

**ENTER APPROPRIATE BASIC FEE AMOUNT =****CALCULATIONS PTO USE ONLY**

\$ 920.00

Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months  
from the earliest claimed priority date (37 CFR 1.492(e)).

\$

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$
Total claims	- 20 =	2	x \$18.00	\$ 36.00
Independent claims	6 - 3 =	1.05	x \$86.00	\$ 258.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)				+ \$290.00
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$ 294.00
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$ [147.00]
<b>SUBTOTAL =</b>				\$ 147.00
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$
<b>TOTAL NATIONAL FEE =</b>				\$ 1,057.00
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$
<b>TOTAL FEES ENCLOSED =</b>				\$ 1,057.00
				Amount to be refunded: \$
				charged: \$

a. ☐ A check in the amount of \$ \_\_\_\_\_ to cover the above fees is enclosed.

b. ☒ Please charge my Deposit Account No. 50-1710 in the amount of \$ 1,057.00 to cover the above fees.  
A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-1710. A duplicate copy of this sheet is enclosed.

d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

**NOTE:** Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Richard P. Bauer

Customer No. 27160

KATTEN MUCHIN ZAVIS ROSENMAN

525 West Monroe Street, Suite 1600

Chicago, Illinois, 60661-3693

Richard P. Bauer  
SIGNATURE

Richard P. Bauer  
NAME

31,588  
REGISTRATION NUMBER